

**ADDENDUM TO  
DIVISION OF ENFORCEMENT PRESS RELEASE  
FISCAL YEAR 2022**

<b>Enforcement Summary Chart for FY 2022 by Primary Classification</b>							
<b>Primary Classification</b>	<b>Civil Actions</b>	<b>Standalone AP</b>	<b>Follow-On AP</b>	<b>Delinquent Filings</b>	<b>Total</b>	<b>% of Total Actions</b>	<b>% of Civil and Standalone APs</b>
<b>Broker Dealer</b>	14 (32)	32 (38)	86 (88)	0 (0)	132 (158)	17%	10%
<b>Delinquent Filings</b>	0 (0)	0 (0)	0 (0)	129 (129)	129 (129)	17%	0%
<b>Foreign Corrupt Practices Act</b>	0 (0)	6 (6)	0 (0)	0 (0)	6 (6)	1%	1%
<b>Insider Trading</b>	36 (85)	7 (8)	0 (0)	0 (0)	43 (93)	6%	9%
<b>Investment Advisers / Investment Companies</b>	34 (62)	85 (109)	55 (55)	0 (0)	174 (226)	23%	26%
<b>Issuer Reporting / Audit &amp; Accounting</b>	19 (36)	57 (78)	15 (15)	0 (0)	91 (129)	12%	16%
<b>Market Manipulation</b>	30 (115)	2 (2)	3 (3)	0 (0)	35 (120)	5%	7%
<b>Miscellaneous</b>	3 (12)	3 (3)	0 (0)	0 (0)	6 (15)	1%	1%
<b>NRSRO</b>	0 (0)	1 (2)	0 (0)	0 (0)	1 (2)	0%	0%
<b>Public Finance Abuse</b>	7 (12)	12 (13)	1 (1)	0 (0)	20 (26)	3%	4%
<b>Securities Offering</b>	87 (321)	19 (26)	7 (7)	0 (0)	113 (354)	15%	23%
<b>SRO / Exchange</b>	0 (0)	1 (1)	0 (0)	0 (0)	1 (1)	0%	0%
<b>Transfer Agent</b>	1 (2)	6 (7)	2 (2)	0 (0)	9 (11)	1%	2%
<b>Total</b>	<b>231 (677)</b>	<b>231 (293)</b>	<b>169 (171)</b>	<b>129 (129)</b>	<b>760 (1,270)</b>	<b>100%</b>	<b>100%</b>

Each action initiated has been included in only one category listed above, even though many actions may fall under more than one category. For example, the 15 Follow-On Administrative Proceedings in the category issuer Reporting / Audit & Accounting are proceedings against accountants instituted pursuant to Rule 102(e) of the Commission's Rules of Practice. The underlying conduct in those matters may relate to other categories as well, such as Insider Trading or Securities Offering. Party counts are noted in parentheses.

*A listing of the actions referenced in the table above is provided in the last section of this document.*

### Enforcement Actions Filed in Fiscal Years 2017 to 2022

	FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
Standalone Enforcement Actions	462	434	405	526	490	446
Follow-On Admin. Proceedings	169	143	180	210	210	196
Delinquent Filings	129	120	130	126	121	112
<b>Total Actions</b>	<b>760</b>	<b>697</b>	<b>715</b>	<b>862</b>	<b>821</b>	<b>754</b>

Standalone Enforcement Actions is a combined count of Civil Actions and Standalone APs.

### Total Money Ordered (in millions)

	FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
Penalties	\$4,194	\$1,456	\$1,091	\$1,101	\$1,439	\$832
Disgorgement	\$2,245	\$2,395	\$3,588	\$3,248	\$2,506	\$2,957
<b>Total</b>	<b>\$6,439</b>	<b>\$3,852</b>	<b>\$4,680</b>	<b>\$4,349</b>	<b>\$3,945</b>	<b>\$3,789</b>

Disgorgement totals for 2020 and 2021 have been adjusted to exclude amounts ordered but waived. The currently-reported 2020 figure of \$3,588 (in millions) was previously reported as \$3,589 (in millions). The currently-reported 2021 figure of \$2,395 (in millions) was previously reported as \$2,396 (in millions). No other years were adjusted.

### Money Distributed to Harmed Investors (in millions)

FY 2022	FY 2021	FY 2020	FY 2019	FY 2018	FY 2017
\$937	\$521	\$602	\$1,197	\$794	\$1,073

## FISCAL YEAR 2022 ENFORCEMENT CASES LISTED BY PROGRAM AREA

Case Name	Type of Action	Release No.	Date Filed
<b>BROKER-DEALER</b>			
In the Matter of Robert J. Balunas	Follow-on Admin. Proc.	<a href="#">34-93310</a>	10/13/21
In the Matter of Ibrahim Almagarby	Follow-on Admin. Proc.	<a href="#">34-93307</a>	10/13/21
In the Matter of Kimberly L. Sredich	Follow-on Admin. Proc.	<a href="#">34-93351</a>	10/15/21
SEC v. Kenneth A. Welsh	Civil	<a href="#">LR-25251</a>	10/28/21
In the Matter of Keith A. Wakefield	Follow-on Admin. Proc.	<a href="#">34-93502</a>	11/01/21
In the Matter of Ryan Maasen	Follow-on Admin. Proc.	<a href="#">34-93529</a>	11/05/21
In the Matter of Donald Howard	Follow-on Admin. Proc.	<a href="#">34-93599</a>	11/17/21
In the Matter of Mark Melnick	Follow-on Admin. Proc.	<a href="#">34-93605</a>	11/18/21
In the Matter of Hughe Duwayne Graham	Follow-on Admin. Proc.	<a href="#">34-93619</a>	11/19/21
In the Matter of Steven Robert Comisar	Follow-on Admin. Proc.	<a href="#">34-93650</a>	11/23/21
In the Matter of Phillip W. Conley	Follow-on Admin. Proc.	<a href="#">34-93712</a>	12/02/21
In the Matter of Charles Lloyd, et al.	Follow-on Admin. Proc.	<a href="#">34-93725</a>	12/07/21
In the Matter of David Michael	Follow-on Admin. Proc.	<a href="#">34-93781</a>	12/14/21
In the Matter of Wedbush Securities Inc.	Standalone Admin. Proc.	<a href="#">33-11015</a>	12/15/21
In the Matter of John A. Paulsen	Follow-on Admin. Proc.	<a href="#">34-93805</a>	12/16/21
In the Matter of J.P. Morgan Securities LLC	Standalone Admin. Proc.	<a href="#">34-93807</a>	12/17/21
In the Matter of Stephen Kenneth Grossman	Follow-on Admin. Proc.	<a href="#">34-93861</a>	12/22/21
In the Matter of Matthew L. Walker	Follow-on Admin. Proc.	<a href="#">34-93877</a>	12/29/21
In the Matter of Glenn Arcaro	Follow-on Admin. Proc.	<a href="#">34-93930</a>	01/07/22
In the Matter of Future Money Ltd.	Follow-on Admin. Proc.	<a href="#">34-93931</a>	01/07/22
In the Matter of Stephen Scott Moleski	Follow-on Admin. Proc.	<a href="#">34-93923</a>	01/07/22
In the Matter of tZERO ATS, LLC	Standalone Admin. Proc.	<a href="#">34-93938</a>	01/10/22
In the Matter of Heidi Mao	Follow-on Admin. Proc.	<a href="#">34-94057</a>	01/25/22
In the Matter of Robert H. Van Zandt	Follow-on Admin. Proc.	<a href="#">34-94102</a>	01/31/22
In the Matter of Kristian Sierp	Follow-on Admin. Proc.	<a href="#">34-94195</a>	02/08/22
In the Matter of Michael Oswald Williams	Follow-on Admin. Proc.	<a href="#">34-94191</a>	02/08/22
In the Matter of Banyan Securities, LLC	Standalone Admin. Proc.	<a href="#">34-94248</a>	02/15/22
In the Matter of Church, Gregory, Adams Securities Corporation	Standalone Admin. Proc.	<a href="#">34-94249</a>	02/15/22
In the Matter of J.K. Financial Services, Inc.	Standalone Admin. Proc.	<a href="#">34-94250</a>	02/15/22
In the Matter of Wall Street Access	Standalone Admin. Proc.	<a href="#">34-94245</a>	02/15/22
In the Matter of Watermark Securities, Inc.	Standalone Admin. Proc.	<a href="#">34-94246</a>	02/15/22
In the Matter of The Winning Edge Financial Group, Inc.	Standalone Admin. Proc.	<a href="#">34-94247</a>	02/15/22
In the Matter of John Griffin	Follow-on Admin. Proc.	<a href="#">34-94269</a>	02/17/22
In the Matter of Janine Acosta	Follow-on Admin. Proc.	<a href="#">34-94413</a>	03/14/22
In the Matter of McArthur Jean	Follow-on Admin. Proc.	<a href="#">34-94414</a>	03/14/22
SEC v. Mario E. Rivero	Civil	<a href="#">LR-25346</a>	03/14/22
In the Matter of Adrian Ortega	Follow-on Admin. Proc.	<a href="#">34-94488</a>	03/22/22
In the Matter of Ronnie Lee Moss, Jr.	Follow-on Admin. Proc.	<a href="#">34-94576</a>	04/01/22
In the Matter of Anita Sgarro	Follow-on Admin. Proc.	<a href="#">34-94660</a>	04/08/22

In the Matter of Charles Smigrod	Follow-on Admin. Proc.	<a href="#">34-94659</a>	04/08/22
In the Matter of Charles K. Topping	Follow-on Admin. Proc.	<a href="#">34-94661</a>	04/08/22
In the Matter of Global Investment Strategy UK Ltd.	Follow-on Admin. Proc.	<a href="#">34-94656</a>	04/08/22
In the Matter of John William Gunn	Follow-on Admin. Proc.	<a href="#">34-94658</a>	04/08/22
In the Matter of Lynn D. Cawthorne	Follow-on Admin. Proc.	<a href="#">34-94734</a>	04/15/22
In the Matter of Scott A. Merkelson	Follow-on Admin. Proc.	<a href="#">34-94790</a>	04/25/22
In the Matter of Maplelane Capital LLC	Standalone Admin. Proc.	<a href="#">34-94830</a>	05/02/22
In the Matter of Justin Wallace Herman	Follow-on Admin. Proc.	<a href="#">34-94833</a>	05/02/22
In the Matter of Bobby Eugene Guess	Follow-on Admin. Proc.	<a href="#">34-94852</a>	05/05/22
In the Matter of Lev Parnas	Follow-on Admin. Proc.	<a href="#">34-94885</a>	05/10/22
In the Matter of Peter J. Baker	Follow-on Admin. Proc.	<a href="#">34-94904</a>	05/12/22
In the Matter of Alan H. New	Follow-on Admin. Proc.	<a href="#">34-94931</a>	05/17/22
In the Matter of David N. Knuth	Follow-on Admin. Proc.	<a href="#">34-94930</a>	05/17/22
In the Matter of Anthony Salandra	Follow-on Admin. Proc.	<a href="#">34-94937</a>	05/18/22
In the Matter of Thomas F. Plaut	Follow-on Admin. Proc.	<a href="#">34-94944</a>	05/19/22
In the Matter of Michael Staisil	Follow-on Admin. Proc.	<a href="#">34-94943</a>	05/19/22
In the Matter of Wells Fargo Clearing Services, LLC	Standalone Admin. Proc.	<a href="#">34-94955</a>	05/20/22
In the Matter of TradeZero America, Inc., et al.	Standalone Admin. Proc.	<a href="#">33-11066</a>	05/24/22
SEC v. LG Capital Funding, LLC, et al.	Civil	<a href="#">LR-25410</a>	06/07/22
SEC v. Western International Securities, Inc., et al.	Civil	<a href="#">2022-110</a>	06/15/22
In the Matter of Erin Verespy	Follow-on Admin. Proc.	<a href="#">34-95121</a>	06/17/22
In the Matter of Wesley J. Evans	Follow-on Admin. Proc.	<a href="#">34-95187</a>	06/30/22
In the Matter of Efrain Betancourt, Jr.	Follow-on Admin. Proc.	<a href="#">34-95194</a>	07/01/22
SEC v. Richard Jonathan Eden, et al.	Civil	<a href="#">LR-25444</a>	07/14/22
In the Matter of TradeStation Securities, Inc.	Standalone Admin. Proc.	<a href="#">34-95369</a>	07/27/22
In the Matter of UBS Financial Services Inc.	Standalone Admin. Proc.	<a href="#">34-95368</a>	07/27/22
In the Matter of J.P. Morgan Securities LLC	Standalone Admin. Proc.	<a href="#">34-95367</a>	07/27/22
SEC v. Alan Z. Appelbaum	Civil	<a href="#">LR-25459</a>	07/28/22
In the Matter of Aegis Capital Corp.	Standalone Admin. Proc.	<a href="#">33-11086</a>	07/28/22
In the Matter of Paul F. Gallivan	Standalone Admin. Proc.	<a href="#">33-11085</a>	07/28/22
SEC v. Crown Bridge Partners, LLC, et al.	Civil	<a href="#">LR-25461</a>	08/02/22
In the Matter of Kevin Gillespie	Follow-on Admin. Proc.	<a href="#">34-95421</a>	08/04/22
In the Matter of Dustin Paul Shafer	Follow-on Admin. Proc.	<a href="#">34-95453</a>	08/09/22
SEC v. Alpine Securities Corporation, et al.	Civil	<a href="#">LR-25465</a>	08/10/22
In the Matter of Crown Bridge Partners, LLC	Follow-on Admin. Proc.	<a href="#">34-95467</a>	08/11/22
In the Matter of Soheil Ahdoot	Follow-on Admin. Proc.	<a href="#">34-95468</a>	08/11/22
In the Matter of Sepas Ahdoot	Follow-on Admin. Proc.	<a href="#">34-95469</a>	08/11/22
In the Matter of Ross Barish	Follow-on Admin. Proc.	<a href="#">34-95482</a>	08/11/22
In the Matter of IMC Chicago, LLC	Standalone Admin. Proc.	<a href="#">34-95487</a>	08/12/22
In the Matter of William B. McHenry, Jr., et al.	Follow-on Admin. Proc.	<a href="#">34-95594</a>	08/25/22
In the Matter of Matthew J. Skinner	Follow-on Admin. Proc.	<a href="#">34-95608</a>	08/25/22
In the Matter of Douglas Martin	Follow-on Admin. Proc.	<a href="#">34-95617</a>	08/26/22
In the Matter of Karina Chairez	Follow-on Admin. Proc.	<a href="#">34-95619</a>	08/26/22
In the Matter of Jonathan Dax Cooke	Follow-on Admin. Proc.	<a href="#">34-95630</a>	08/29/22
In the Matter of Worldwide Markets, Ltd.	Follow-on Admin. Proc.	<a href="#">34-95627</a>	08/29/22
In the Matter of TAB Networks, Inc.	Follow-on Admin. Proc.	<a href="#">34-95628</a>	08/29/22

In the Matter of Mark W. Heckeles, Esq.	Follow-on Admin. Proc.	<a href="#">34-95625 &amp; 34-95626</a>	08/29/22
In the Matter of Micah J. Eldred	Follow-on Admin. Proc.	<a href="#">34-95649</a>	08/31/22
In the Matter of Leon Vaccarelli	Follow-on Admin. Proc.	<a href="#">34-95671</a>	09/02/22
In the Matter of Lisa Gordon	Follow-on Admin. Proc.	<a href="#">34-95690</a>	09/07/22
In the Matter of Stanley B. Secor	Follow-on Admin. Proc.	<a href="#">34-95703</a>	09/08/22
SEC v. TBG Holdings Corporation, et al.	Civil	<a href="#">LR-25504</a>	09/09/22
SEC v. Ted L. Romeo	Civil	<a href="#">LR-25504</a>	09/09/22
SEC v. Frank S. Dickerson	Civil	<a href="#">LR-25504</a>	09/09/22
SEC v. Vincent J. Caputo	Civil	<a href="#">LR-25504</a>	09/09/22
In the Matter of Robert Lenard Booth a/k/a Trevor Nicholas	Follow-on Admin. Proc.	<a href="#">34-95784</a>	09/15/22
In the Matter of Damian Ostertag	Follow-on Admin. Proc.	<a href="#">34-95788</a>	09/15/22
In the Matter of Mark Alan Lisser	Follow-on Admin. Proc.	<a href="#">34-95785</a>	09/15/22
In the Matter of TBG Holdings Corporation	Follow-on Admin. Proc.	<a href="#">34-95815</a>	09/16/22
In the Matter of Neil B. Swartz	Follow-on Admin. Proc.	<a href="#">34-95816</a>	09/19/22
In the Matter of Ted L. Romeo	Follow-on Admin. Proc.	<a href="#">34-95821</a>	09/19/22
In the Matter of Damilare Sonoiki	Follow-on Admin. Proc.	<a href="#">34-95824</a>	09/19/22
In the Matter of Timothy S. Hart, CPA	Follow-on Admin. Proc.	<a href="#">34-95835</a>	09/20/22
In the Matter of Raymond James & Associates, Inc.	Standalone Admin. Proc.	<a href="#">34-95875</a>	09/22/22
In the Matter of Robert A. Vanetten	Follow-on Admin. Proc.	<a href="#">34-95858</a>	09/22/22
In the Matter of Raymond T. Clark	Follow-on Admin. Proc.	<a href="#">34-95894</a>	09/23/22
SEC v. Morningview Financial, LLC, et al.	Civil	<a href="#">LR-25520</a>	09/23/22
In the Matter of Jose Luis Casero Sanchez	Follow-on Admin. Proc.	<a href="#">34-95906</a>	09/23/22
In the Matter of Alex Duain Forester	Follow-on Admin. Proc.	<a href="#">34-95911</a>	09/26/22
In the Matter of Michael Robert Hicks	Follow-on Admin. Proc.	<a href="#">34-95912</a>	09/26/22
In the Matter of Deutsche Bank Securities Inc., et al.	Standalone Admin. Proc.	<a href="#">34-95928</a>	09/27/22
In the Matter of Barclays Capital Inc.	Standalone Admin. Proc.	<a href="#">34-95919</a>	09/27/22
In the Matter of BofA Securities, Inc., et al.	Standalone Admin. Proc.	<a href="#">34-95921</a>	09/27/22
In the Matter of Cantor Fitzgerald & Co.	Standalone Admin. Proc.	<a href="#">34-95927</a>	09/27/22
In the Matter of Credit Suisse Securities (USA) LLC	Standalone Admin. Proc.	<a href="#">34-95926</a>	09/27/22
In the Matter of Citigroup Global Markets Inc.	Standalone Admin. Proc.	<a href="#">34-95920</a>	09/27/22
In the Matter of Goldman Sachs & Co. LLC	Standalone Admin. Proc.	<a href="#">34-95922</a>	09/27/22
In the Matter of Jefferies LLC	Standalone Admin. Proc.	<a href="#">34-95923</a>	09/27/22
In the Matter of Morgan Stanley & Co. LLC, et al.	Standalone Admin. Proc.	<a href="#">34-95924</a>	09/27/22
In the Matter of Nomura Securities International, Inc.	Standalone Admin. Proc.	<a href="#">34-95925</a>	09/27/22
In the Matter of UBS Financial Services, Inc., et al.	Standalone Admin. Proc.	<a href="#">34-95929</a>	09/27/22
In the Matter of Sean Andrew O'Neal	Follow-on Admin. Proc.	<a href="#">34-95915</a>	09/27/22
In the Matter of Michael Roy Raynor	Follow-on Admin. Proc.	<a href="#">34-95916</a>	09/27/22
In the Matter of Lee Sobel	Follow-on Admin. Proc.	<a href="#">34-95918</a>	09/27/22
In the Matter of Christopher Byungin Lee	Follow-on Admin. Proc.	<a href="#">34-95914</a>	09/27/22
In the Matter of Darcas Oliver Young	Follow-on Admin. Proc.	<a href="#">34-95932</a>	09/27/22
In the Matter of Joshua Louis Rupp	Follow-on Admin. Proc.	<a href="#">34-95935</a>	09/27/22
In the Matter of Kent R. E. Whitney	Follow-on Admin. Proc.	<a href="#">34-95957</a>	09/30/22
In the Matter of David Lee Parrish	Follow-on Admin. Proc.	<a href="#">34-95956</a>	09/30/22
In the Matter of R. Jacob Steele	Standalone Admin. Proc.	<a href="#">34-95951</a>	09/30/22
SEC v. Allen Mecham	Civil	None	09/30/22

In the Matter of Joseph Boulos	Follow-on Admin. Proc.	<a href="#">34-95960</a>	09/30/22
In the Matter of Darrell Green	Standalone Admin. Proc.	<a href="#">34-95962</a>	09/30/22

## DELINQUENT FILINGS

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In the Matter of Fortem Resources Inc.	Standalone Admin. Proc.	<a href="#">34-94033</a>	01/24/22
In the Matter of Apawthecary Pets USA	Standalone Admin. Proc.	<a href="#">34-94058</a>	01/25/22
In the Matter of AVTEAM, Inc.	Standalone Admin. Proc.	<a href="#">34-94051</a>	01/25/22
In the Matter of Bare Metal Standard, Inc. (a/k/a AS Capital, Inc.)	Standalone Admin. Proc.	<a href="#">34-94060</a>	01/25/22
In the Matter of Celexus, Inc. fka Telupay International, Inc.	Standalone Admin. Proc.	<a href="#">34-94056</a>	01/25/22
In the Matter of KinerjaPay Corp.	Standalone Admin. Proc.	<a href="#">34-94063</a>	01/26/22
In the Matter of IOTA Communications, Inc.	Standalone Admin. Proc.	<a href="#">34-94077</a>	01/27/22
In the Matter of ORANCO, Inc.	Standalone Admin. Proc.	<a href="#">34-94078</a>	01/27/22
In the Matter of Star Buffet, Inc.	Standalone Admin. Proc.	<a href="#">34-94081</a>	01/27/22
In the Matter of Two Rivers Water & Farming Company	Standalone Admin. Proc.	<a href="#">34-94085</a>	01/27/22
In the Matter of West Coast Ventures Group Corp.	Standalone Admin. Proc.	<a href="#">34-94090</a>	01/27/22
In the Matter of XT Energy Group, Inc.	Standalone Admin. Proc.	<a href="#">34-94084</a>	01/27/22
In the Matter of Petrolia Energy Corporation	Standalone Admin. Proc.	<a href="#">34-94098</a>	01/28/22
In the Matter of Sector 5, Inc.	Standalone Admin. Proc.	<a href="#">34-94100</a>	01/28/22
In the Matter of Blue State Corp.	Standalone Admin. Proc.	<a href="#">34-94112</a>	01/31/22
In the Matter of BlueNRGY Group Ltd.	Standalone Admin. Proc.	<a href="#">34-94113</a>	01/31/22
In the Matter of Gordon Biersch Brewery Restaurant Group, Inc.	Standalone Admin. Proc.	<a href="#">34-94171</a>	02/07/22
In the Matter of Inventergy Global, Inc.	Standalone Admin. Proc.	<a href="#">34-94173</a>	02/07/22
In the Matter of EnXnet, Inc.	Standalone Admin. Proc.	<a href="#">34-94182</a>	02/08/22
In the Matter of Global Seed Corp.	Standalone Admin. Proc.	<a href="#">34-94183</a>	02/08/22
In the Matter of Liberated Syndication Inc.	Standalone Admin. Proc.	<a href="#">34-94193</a>	02/08/22
In the Matter of Nearctic Nickel Mines, Inc.	Standalone Admin. Proc.	<a href="#">34-94194</a>	02/08/22
In the Matter of Price Net U.S.A., Inc.	Standalone Admin. Proc.	<a href="#">34-94198</a>	02/09/22
In the Matter of Qwick Media Inc.	Standalone Admin. Proc.	<a href="#">34-94200</a>	02/09/22
In the Matter of Zenosense, Inc.	Standalone Admin. Proc.	<a href="#">34-94209</a>	02/09/22
In the Matter of ZhongTai Biotechnology Pharmaceutical Group Co., Ltd.	Standalone Admin. Proc.	<a href="#">34-94210</a>	02/09/22
In the Matter of DBUB Group, Inc.	Standalone Admin. Proc.	<a href="#">34-94213</a>	02/10/22
In the Matter of Double Down Holdings, Inc.	Standalone Admin. Proc.	<a href="#">34-94214</a>	02/10/22
In the Matter of Omphalos, Corp.	Standalone Admin. Proc.	<a href="#">34-94215</a>	02/10/22
In the Matter of ParagonCoin, Limited	Standalone Admin. Proc.	<a href="#">34-94216</a>	02/10/22
In the Matter of ZYQC Group Holding Limited	Standalone Admin. Proc.	<a href="#">34-94225</a>	02/10/22
In the Matter of Parallax Health Sciences, Inc.	Standalone Admin. Proc.	<a href="#">34-94220</a>	02/10/22
In the Matter of Artemis Acquisition Corp.	Standalone Admin. Proc.	<a href="#">34-94229</a>	02/11/22
In the Matter of Bioscience Neutraceuticals, Inc.	Standalone Admin. Proc.	<a href="#">34-94230</a>	02/11/22
In the Matter of Capital Access Point III, Inc.	Standalone Admin. Proc.	<a href="#">34-94235</a>	02/11/22
In the Matter of CareClix Holdings, Inc. (f/k/a Solei Systems, Inc.)	Standalone Admin. Proc.	<a href="#">34-94236</a>	02/11/22
In the Matter of Talware Networx Inc.	Standalone Admin. Proc.	<a href="#">34-94227</a>	02/11/22
In the Matter of Validian Corp.	Standalone Admin. Proc.	<a href="#">34-94228</a>	02/11/22
In the Matter of CMS Asset Holdings, Inc.	Standalone Admin. Proc.	<a href="#">34-94243</a>	02/14/22
In the Matter of CurAegis Technologies, Inc.	Standalone Admin. Proc.	<a href="#">34-94244</a>	02/14/22
In the Matter of Sonant Systems, Inc.	Standalone Admin. Proc.	<a href="#">34-94239</a>	02/14/22
In the Matter of Symphony Acquisition Corp.	Standalone Admin. Proc.	<a href="#">34-94240</a>	02/14/22

In the Matter of Virolab, Inc.	Standalone Admin. Proc.	<a href="#">34-94267</a>	02/15/22
In the Matter of Xodtec Group USA, Inc.	Standalone Admin. Proc.	<a href="#">34-94268</a>	02/16/22
In the Matter of Randolph Capital Group, Inc.	Standalone Admin. Proc.	<a href="#">34-94275</a>	02/17/22
In the Matter of Safety First Healthcare Corp.	Standalone Admin. Proc.	<a href="#">34-94276</a>	02/17/22
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In the Matter of Jason B. Rhodes	Follow-on Admin. Proc.	IA-5895	10/22/21
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