

# Caroline S. Kim

Skadden

Counsel, Washington, D.C.

SEC Reporting and Compliance; Corporate Governance; Mergers and Acquisitions



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## Education

J.D., Villanova University School of Law, 2010 (Associate Editor, *Villanova Environmental Law Journal*; Editor-in-Chief, *Villanova Journal of Catholic Social Thought*)

B.A., The Johns Hopkins University, 2006

## Bar Admissions

District of Columbia  
Pennsylvania

## Government Service

Attorney-Advisor, Division of Corporation Finance, U.S. Securities and Exchange Commission (2010-2015)

## Associations

American Bar Association  
Society for Corporate Governance

Caroline Kim concentrates her practice in the areas of public company reporting, corporate governance, mergers and acquisitions, and general corporate and securities matters. Ms. Kim advises clients on matters concerning Exchange Act reporting obligations, annual meetings of shareholders, insider trading, compliance with listing requirements of the New York Stock Exchange and Nasdaq, board independence, and environmental, social and governance reporting and related matters.

Prior to joining Skadden, Ms. Kim was an attorney at the U.S. Securities and Exchange Commission in the Division of Corporation Finance. She most recently served in the division's Office of Rulemaking, where she worked on various rulemaking initiatives, including the CEO pay ratio rules mandated under the Dodd-Frank Act. Previously, Ms. Kim was in the division's Office of Natural Resources, where she worked on transactional and securities compliance matters relating to the Securities Act and the Exchange Act, including IPO and business combination registration statements, proxy statements, periodic and current reports, and confidential treatment requests.

Ms. Kim is actively involved in the firm's training and recruiting programs and has served on the summer associate Assignments Committee. She serves on the firm's Steering Committee for the Asian Affinity Network and previously served on the Diversity Committee in the Washington, D.C. office. She also is a founding member of Skadden's Immigration Impact Project and a member of the Capital Area Immigrants' Rights Coalition's Legal Advisory Committee.

While in law school, Ms. Kim was a judicial intern for Judge John R. Padova of the U.S. District Court for the Eastern District of Pennsylvania and represented clients through Villanova University's Clinic for Asylum, Refugee and Emigrant Services.

Ms. Kim has been honored as a Rising Star by *Law360* and a Rising Star in Corporate Governance by *Euromoney's Expert Guides*.

## Selected Publications

"Preparing Now for the SEC's New Climate Rules," *Harvard Law School Forum on Corporate Governance*, May 22, 2024

"2024 Annual Meeting Filing and Disclosure Requirements," *Skadden Publication*, March 20, 2024

"SEC Adopts New Rules for Climate-Related Disclosures," *Skadden Publication*, March 28, 2024

"Guide to Maintaining the Confidentiality of Commercially Sensitive Information in Agreements Filed With the SEC – 2024 Update," *Skadden Publication*, January 26, 2024

"Form 20-F for Fiscal Year 2023: What Foreign Private Issuers Should Keep in Mind," *Skadden Publication*, January 28, 2024

"Matters To Consider for the 2024 Annual Meeting and Reporting Season," *Skadden Publication*, December 12, 2023

"California Poised To Adopt Sweeping Climate Disclosure Rules," *Skadden Publication*, September 26, 2023

"SEC Adopts Rules for Cybersecurity Risk Management, Strategy, Governance and Incident Disclosure," *Skadden Publication*, July 27, 2023

"SEC Adopts New Share Repurchase Disclosure Requirements," *Skadden Publication*, May 5, 2023

"Skadden Discusses What New SEC Insider Trading Rules Mean for Directors," *The CLS Blue Sky Blog*, February 15, 2023